

INFORMATION DISCLOSURE STATEMENT

Applicant : Kagan et al.
App. No : 10/698,148
Filed : October 31, 2003
For : APPARATUS AND METHODS FOR
TREATMENT OF MORBID OBESITY
Examiner : Phillip A. Gray
Art Unit : 3767

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 54 references to be considered by the Examiner. Also enclosed are 12 foreign patent references and/or non-patent literature as listed on the Information Disclosure Statement.

Identification herein is not an admission that any of the foregoing are prior art to the above captioned application.

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing of a Notice of Allowance. This Statement is accompanied by the fees set forth in 37 C.F.R. § 1.17(p). The Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 1/19/07

By: 

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Multiple sheets used when necessary)

SHEET 1 OF 2

Application No.	10/698,148
Filing Date	October 31, 2003
First Named Inventor	Kagan
Art Unit	3767
Examiner	Phillip A. Gray
Attorney Docket No.	VALTX.001A

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	4,846,836	07-11-1989	Reich	
	2	5,236,423	08-17-1993	Mix et al	
	3	5,843,164	12-01-1998	Frantzen et al	
	4	6,702,735	03-09-2004	Kelly	
	5	8,746,489	06-08-2004	Dua et al	
	6	8,764,518	07-20-2004	Godin	
	7	6,790,237	09-14-2004	Stinson	
	8	2001/0044595	11-22-2001	Reydel et al	
	9	2002/0016607	02-07-2002	Bonadio et al	
	10	2004/0097988	05-20-2004	Adams	
	11	2004/0102855	05-27-2004	Shank	
	12	2004/0133236	07-06-2004	Cerier	
	13	2004/0133147	07-08-2004	Woo	
	14	2004/0138525	07-15-2004	Saadat et al.	
	15	2004/0220862	11-04-2004	Levine et al	
	16	2005/0033332	02-10-2005	Burnett et al	
	17	2005/0033331	02-10-2005	Burnett et al.	
	18	2005/0080444	04-14-2005	Kraemer et al	
	19	2005/0143784	06-30-2005	Imran	
	20	2005/0143784	06-30-2005	Imran	
	21	2005/0187567	08-25-2005	Baker et al	
	22	2005/0192629	09-01-2005	Jaadat et al.	
	23	2005/0197715	09-08-2005	Kugler et al.	
	24	2005/0197715	09-08-2005	Kugler et al	
	25	2005/0197714	09-08-2005	Sayet	
	26	2005/0203547	09-15-2005	Weller et al	
	27	2005/0247320	11-10-2005	Stack et al.	
	28	2005/0261712	11-24-2005	Balibierz et al.	
	29	2005/0261549	11-24-2005	Hewit et al	

Examiner Signature

Date Considered

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T¹ - Place a check mark in this area when an English language Translation is attached.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Multiple sheets used when necessary)

SHEET 2 OF 2

Application No.	10/698,148
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	30	2005/0267499	12-01-2005	Stack et al.	
	31	2006/0009858	01-12-2006	Levine et al.	
	32	2006/0020278	01-26-2006	Burnett et al.	
	33	2006/0020277	01-26-2006	Gostout et al.	
	34	2006/0020164	01-26-2006	Butler et al.	
	35	2006/0025819	02-02-2006	Nobis et al.	
	36	2006/0047289	03-02-2006	Fogel	
	37	2006/0064120	03-23-2006	Levine et al.	
	38	2006/0155312	07-13-2006	Levine et al.	
	39	2006/0161265	07-20-2006	Levine et al.	
	40	2006/0161187	07-20-2006	Levine et al.	
	41	2006/0161172	07-20-2006	Levine et al.	
	42	2006/0161139	07-20-2006	Levine et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code Example: JP 1234567 A1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ¹
	43	EP 0817598	02-28-1996	Godin		
	44	EP 1237501	09-01-2000	Godin		
	45	WO 91/01117	02-07-1991	Godin		
	46	WO 96/29954	10-03-1996	Godin		
	47	WO 00/12027	03-09-2000	Johnson et al.		
	48	WO 01/43063	06-21-2001	Godin		
	49	WO 2004/047886	06-10-2004	Shank		
	50	WO 2004/103214 A1	12-02-2004	Godin		
	51	WO 2004/105643	12-09-2004	Godin		
	52	WO 2005/011519	02-10-2005	Ewers et al.		
	53	WO 2005/032422	04-14-2005	Godin		
	54	WO 2006/044640 A1	04-27-2006	Baker et al.		

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